

PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE
SAN FRANCISCO, CA 94102-3298



August 5, 2021

Jeffrey T. Linam
Vice President of Rates & Regulatory
California-American Water Company
4701 Beloit Drive
Sacramento, CA 95838-2434

Dear Mr. Linam,

The Commission has approved California-American Water Company's Advice Letter No. 1330, filed on March 31, 2021, regarding 2020 WRAM & MCBA for Northern Division district.

Enclosed are copies of the following revised tariff sheets, effective March 31, 2021, for the utility's files:

P.U.C. Sheet No.	Title of Sheet
10026-W	Schedule No. ND-1, Northern Division Tariff Area GENERAL METERED SERVICES, Sheet 8
10027-W	Schedule No. ND-1, Northern Division Tariff Area GENERAL METERED SERVICES, Sheet 9
10028-W	Schedule No. ND-1, Northern Division Tariff Area GENERAL METERED SERVICES, Sheet 10
10029-W	Schedule No. ND-1, Northern Division Tariff Area GENERAL METERED SERVICES, Sheet 11
10030-W	TABLE OF CONTENTS, Sheet 3
10031-W	TABLE OF CONTENTS, Sheet 1

Please contact Bradley Leong at BL4@cpuc.ca.gov or 415-703-2307, if you have any questions.

Thank you,

/s/ROBIN BRYANT

Robin Bryant
Water Division

Enclosures

**CALIFORNIA PUBLIC UTILITIES COMMISSION
DIVISION OF WATER AND AUDITS**

Advice Letter Cover Sheet

Utility Name: California American Water
District: Northern Division
CPUC Utility #: U210W
Advice Letter #: 1330
Tier 1 2 3 Compliance
D.08-11-023, D.12-04-048,
Authorization D.13-07-041, D.18-12-021
Description: 2020 Northern Division WRAM & MCBA

Date Mailed to Service List: March 31, 2021
Protest Deadline (20th Day): April 19, 2021
Review Deadline (30th Day): April 29, 2021
Requested Effective Date: March 31, 2021
Rate Impact: \$See AL
See AL%

The protest or response deadline for this advice letter is 20 days from the date that this advice letter was mailed to the service list. Please see the "Response or Protest" section in the advice letter for more information.

Utility Contact: Nancy Hollingsworth
Phone: 916-568-4209
Email: Nancy.Hollingsworth@amwater.com

Utility Contact: Jonathan Morse
Phone: 916-568-4246
Email: Jonathan.Morse@amwater.com

DWA Contact: Tariff Unit
Phone: (415) 703-1133
Email: Water.Division@cpuc.ca.gov

DWA USE ONLY

<u>DATE</u>	<u>STAFF</u>	<u>COMMENTS</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

APPROVED

WITHDRAWN

REJECTED

Signature: _____

Comments: _____

Date: _____



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March 31, 2021

ADVICE LETTER NO. 1330

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (California American Water) (U210W) hereby submits for review this advice letter including the following tariff sheets applicable to its Northern Division District which are attached hereto:

PURPOSE

The purpose of this advice letter filing is to request recovery of the 2020 WRAM & MCBA balances. This request is in compliance with authorizations of the California Public Utilities Commission as detailed in D.08-11-023, D.12-04-048, D.13-07-041 and D.18-12-021.

BACKGROUND

On November 6, 2008, the Commission issued Ordering Paragraph 1 in D.08-11-023 which adopted a settlement agreement between California American Water and the Division of Ratepayer Advocates ("DRA") to establish a Pilot Program for a conservation rate structure in the Northern Division District;

The June 28, 2007 settlement for the Coronado and Village districts, attached to Appendix A, is adopted and the motion to reduce the comment period is granted.

As part of the settlement, California American Water was to establish a WRAM as outlined below from pages 5 and 6 of the agreement.

Decoupling for California American Water will be accomplished through the following mechanisms:

- 1. A Water Revenue Adjustment Mechanism (WRAM) for the Coronado and Village districts.*
- 2. This decoupling mechanism, along with California American Water's Incremental Cost Balancing Accounts (ICBA)¹, will ensure recovery of the adopted fixed costs recovered through the quantity charge, and the actual variable costs for purchased power, purchased water, and pump taxes. The fixed costs not included in these accounts will be recovered through the service charge, which is a monthly charge that customers pay regardless of consumption.*
- 3. In accordance with established Commission practice, the WRAM account will accrue interest at the 90-day commercial paper rate.*

¹The Modified Cost Balancing Account (MCBA) replaced the ICBA through the issuance of D.12-06-016.

The WRAM will track the difference between the total quantity charge revenues authorized by the Commission (“Total Adopted Quantity Revenues”), and the total revenues actually recovered through the quantity charge based on actual sales (“Total Actual Quantity Revenues”), excluding:

- 1. Revenue from Private Fire Protection Service and;*
- 2. Revenue from the “Other” class of general metered customers.*

California American Water implemented the Pilot Program on February 1, 2009 via Advice Letter 717-A.

Historically, these types of advice letters were submitted by April 30th each year per the settlement agreement adopted in D.08-11-023. On July 25, 2013, modifications to the process were agreed to in D.13-07-041 as part of a settlement in California American Water’s 2010 General Rate Case (“GRC”) proceeding;

The parties agree that California American Water would use the same amortization schedules and procedures that were established for other Class A water utilities in D.12-04-048 for the WRAM/MCBA in all districts. This includes:

- 1. Amortization of net WRAM/MCBA balances at or above 2% of the last authorized revenue requirement, as described in Ordering Paragraph 2;*
- 2. The process to not initiate the cap until 2015, which is the first test year of the GRC to be filed July 1, 2013, as discussed in Ordering Paragraph 3;...*
- 3. A vigorous review of the WRAM/MCBA as well as sales forecasting to be conducted in the next GRC (Test Year 2015), as discussed in Ordering Paragraph 3;*
- 4. California American Water will submit its annual request for amortization of net WRAM/MCBA balances by a Tier 1 advice letter on or before March 31, as described in Ordering Paragraph 5;*
- 5. California American cannot include any additional type or category of cost in their Tier 1 Advice Letters that was not included in their Annual Report as described in Ordering Paragraph 9;*
- 6. California American Water will separate the WRAM/MCBA surcharges on customer bills if it is capable of doing so with its new billing system, as discussed for other utilities in Ordering Paragraph 10.*

Additional criteria on the WRAM amortization process were outlined in D.12-04-048 as follows.

Conclusions of Law

- 7. It is reasonable to require that net WRAM/MCBA over-collections be amortized through a surcredit on a customer’s service charges and that all under-collections be amortized through a surcharge on the volumetric rate.*
- 8. It is reasonable to change the deadline for applicants to submit their annual WRAM/MCBA report from March 31st to the previous November 30th, and to include nine months of recorded data through September 30th in the report.*

Ordering Paragraphs

1. We adopt the amortization schedule set forth in Appendix A with a cap on total net Water Revenue Adjustment Mechanism/Modified Cost Balancing Account (WRAM/MCBA) surcharges of 10% of the last authorized revenue requirement...WRAM balances incurred prior to the first test year referenced above continue to be amortized under the adopted amortization schedule without being subject to the surcharge cap.

In addition, D.18-12-021 increases the cap on amortization of the WRAM/MCBA balances:

Ordering Paragraph

151. The cap on amortization of WRAM/MCBA balances should not be eliminated but should be increased to 15% of the last authorized revenue requirement for each of California American Water's districts.

California American Water submitted its annual report with DWA on 11/30/2020. The report contained recorded balances January 1 through October 31, 2020 and forecasted balances for the period November 1 through December 31, 2020.

REQUEST

The balances for the WRAM and MCBA are summarized in the table below.

Recovery of WRAM/MCBA Balances				
Description	WRAM/MCBA Over/(Under) Collection	Cumulative Interest Earned/ Accrued	Cumulative Surcharge Collections	Balance
Larkfield				
AUTHORIZED PRIOR BALANCES REMAINING AT 12/31/2020	(\$703,665)	(\$3,507)	\$458,810	(\$248,363)
RECORDED BALANCE NOT YET APPROVED FOR YEAR 2020	\$171,481	\$28	\$0	\$171,509
BALANCE ALL YEARS				(\$76,853)
2020 Adopted Revenue				\$3,079,180
2020 Balance % of Revenue				5.6%
Net Balance % of Revenue				2.5%
Sacramento				
AUTHORIZED PRIOR BALANCES REMAINING AT 12/31/2020	(\$7,501,194)	(\$34,692)	\$7,936,177	\$400,291
BALANCE NOT YET APPROVED FOR YEAR 2020	\$2,865,245	(\$1,781)	\$0	\$2,863,464
BALANCE ALL YEARS				\$3,263,755
2020 Authorized Revenue				\$54,505,082
2020 % of Revenue				5.3%
Net Balance % of Revenue				6.0%

Based on the above balance and 15% cap outlined in D.18-12-021, California American Water requests a volumetric surcharge of \$0.0312 over a 12-month period for Larkfield², and meter-based surcredit to refund the over-collected balance for Sacramento over a 12-month period to be added to the Company's tariffs.

SERVICE LIST

Pursuant to Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in the attached service list. Copies of the detailed workpapers and the documents supporting this Advice Letter have also been furnished to the Commission Staff.

EFFECTIVE DATE

California American Water submits this as a Tier 1 advice letter per D.13-07-041 and requests an effective date of March 31, 2021.

NOTICE

Pursuant to Section 4.3 of General Order No. 96-B, a copy of this advice letter is being provided to those entities listed in the attached "SERVICE LIST PURSUANT TO SECTION 4.3 OF G.O. NO. 96-B." Per guidance from the California Public Utilities Commission's Water Division, during the COVID-19 pandemic advice letters will only be delivered electronically to the service list. Hardcopy advice letters will be mailed as soon as administrative staff are able to return to California American Water offices.

RESPONSE OR PROTEST³

Anyone may submit a response or protest for this AL. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds⁴ are:

1. The utility did not properly serve or give notice of the AL;
2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
3. The analysis, calculations, or data in the AL contain material error or omissions;
4. The relief requested in the AL is pending before the Commission in a formal proceeding; or

² The 2020 balance reflects \$136,713.16 of revenue adjustments based on authorized consumption for the customers impacted by the 2017 fire in the Larkfield district. However, due to the 15% cap established in D.18-12-021, the surcharge does not reflect the incremental adjustment and therefore will not be collected as part of this filing. These adjustments were approved for 2017, 2018, and 2019 advice letters 1198 on July 6, 2018, 1263-B on December 30, 2019 and 1288 on November 20, 2020, respectively. The 2019 and 2020 balances will be addressed in California American Water's next General Rate Case Application.

³ G.O. 96-B, General Rule 7.4.1

⁴ G.O. 96-B, General Rule 7.4.2

5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require re-litigating a prior order of the Commission.
7. A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

DWA must receive a response or protest via email (or postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

The addresses for submitting a response or protest are:

Email Address:

Water.Division@cpuc.ca.gov

Mailing Address:

CA Public Utilities Commission
Division of Water and Audits
505 Van Ness Avenue
San Francisco, CA 94102

On the same day the response or protest is submitted to DWA, the respondent or protestant shall send a copy of the protest to California American Water at:

Email Address:

Kamilah.jones@amwater.com

Mailing Address:

4701 Beloit Drive
Sacramento, CA 95838

sarah.leeper@amwater.com

555 Montgomery Street, Ste. 916
San Francisco, CA 94111

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform DWA, within the 20 day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

REPLIES⁵

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

⁵ G.O. 96-B, General Rule 7.4.3

CALIFORNIA-AMERICAN WATER COMPANY

/s/ Jeffrey T. Linam

Jeffrey T. Linam
Vice President of Rates & Regulatory

Cal P.U.C. Sheet No.	Title of Sheet	Cancelling Cal P.U.C. Sheet No.
10026-W	Schedule No. ND-1 Northern Division Tariff Area GENERAL METERED SERVICES Sheet 8	9939-W
10027-W	Schedule No. ND-1 Northern Division Tariff Area GENERAL METERED SERVICES Sheet 9	9940-W
10028-W	Schedule No. ND-1 Northern Division Tariff Area GENERAL METERED SERVICES Sheet 10	9941-W
10029-W	Schedule No. ND-1 Northern Division Tariff Area GENERAL METERED SERVICES Sheet 11	9942-W
10030-W	TABLE OF CONTENTS Sheet 3	10024-W
10031-W	TABLE OF CONTENTS Sheet 1	10025-W

Schedule No. ND-1
Northern Division Tariff Area
GENERAL METERED SERVICES

Fees and Surcharges
SACRAMENTO TARIFF AREA

1. A surcharge/surcredit is included in each bill to recover the net under/over-collection in the Water Revenue Adjustment Mechanism (WRAM) and Modified Cost Balancing Account (MCBA). For the period ending December 31, 2020, the net over-collection totals \$3,263,755 including interest. (C)
The meter surcredit is set to recover the over-collection over a 12-month period at a rate equal to 15% of the authorized revenue requirement as approved by D.18-12-021. (C)

Meter Size	Surcredit
5/8 x 3/4	\$ 3.10
3/4	\$ 4.64
1	\$ 7.74
1 1/2	\$ 15.48
2	\$ 24.76
3	\$ 46.43
4	\$ 77.38
6	\$ 154.76
8	\$ 247.61
10	\$ 355.94

2. Per Advice Letter 1283, a surcharge is applied to each bill to offset increases in purchased water costs imposed by the Sacramento water suppliers. This offset results in a needed increase of \$394,013.57 or 0.67%. The surcharge of \$0.0043 per cgl is added to the quantity rate beginning February 21, 2020. (C)

LARKFIELD TARIFF AREAS

1. D.18-12-021 authorized a three-year conservation budget of \$45,700 for 2018-2020 for the Larkfield Tariff Area. Effective November 23, 2020, the conservation surcharge will be removed from customer bills. (C)
2. Per Advice Letter 1252, the under-collected balance in the Larkfield District Consolidated Expense Balancing Account (CEBA) will be recovered through a quantity based surcharge of \$0.0617 per 100 gallons over 36 months effective September 22, 2019. The total amount will be recovered from all classes of customers. (C)
3. A surcharge/surcredit is included in each bill to recover the net under/over-collection in the Water Adjustment Mechanism (WRAM) and Modified Cost Balancing Account (MCBA). For the period ending December 31, 2020, the net under-collection totals \$76,853 including interest. The surcharge of \$0.0312 per 100 gallons is set to recover the under-collection at a rate equal to 15% of the authorized revenue requirement as approved by D.18-12-021. (C)
4. Per Advice Letter 1283, a surcharge is applied to each bill to offset increases in purchased water costs imposed by the Sonoma County Water Agency. This offset results in a needed increase of \$39,076 or 1.2%. The surcharge of \$0.0321 per cgl is added to the quantity rate beginning February 21, 2020. (C)

(Continued)

(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice 1330	J. T. LINAM	Date Filed <u>03/31/2021</u>
Decision	DIRECTOR - Rates & Regulatory	Effective <u>03/31/2021</u>
		Resolution _____

Schedule No. ND-1
Northern Division Tariff Area
GENERAL METERED SERVICES

Sheet 9

Fees and Surcharges (Continued)

LARKFIELD TARIFF AREA (Continued)

5. Per D.18-12-021 a meter-based bill credit for the Excess Unprotected Accumulated Deferred Income Tax will be refunded to customers over the 24-month period beginning October 17, 2019.

Meter Size	Refunds by Meter Equivalents
5/8 x 3/4	\$1.58
3/4	\$2.37
1	\$3.94
1 1/2	\$7.89
2	\$12.62
3	\$23.66
4	\$39.44
6	\$78.88
8	\$126.21
10	\$181.42

6. Per D.18-12-021 a meter-based bill credit for the Excess Plant Related Accumulated Deferred Income Tax will be refunded to customers over the 12-month period beginning January 21, 2020.

Meter Size	Refunds by Meter Equivalents
5/8 x 3/4	\$0.51
3/4	\$0.76
1	\$1.27
1 1/2	\$2.54
2	\$4.07
3	\$7.63
4	\$12.71
6	\$25.42
8	\$40.68
10	\$58.48

(L)

(L)

(Continued)

(TO BE INSERTED BY UTILITY)

Advice 1330
Decision

ISSUED BY

J. T. LINAM
DIRECTOR - Rates & Regulatory

(TO BE INSERTED BY C.P.U.C.)

Date Filed 03/31/2021
Effective 03/31/2021
Resolution _____

Schedule No. ND-1
 Northern Division Tariff Area
GENERAL METERED SERVICES

Fees and Surcharges (Continued)

LARKFIELD SERVICE AREA (Continued)

7. Per D.18-12-021 a meter-based bill credit for the 2019 Excess Plant Related Accumulated Deferred Income Tax will be refunded to customers over 12-months beginning November 1, 2020.

Meter Size	Refunds by Meter Equivalents
5/8 x 3/4	\$0.32
3/4	\$0.48
1	\$0.80
1 1/2	\$1.59
2	\$2.55
3	\$4.78
4	\$7.96
6	\$15.92
8	\$25.47
10	\$36.61

8. Per Advice Letter AL 1322 unspent conservation funds will be refunded over 12 months, beginning from the approved date of AL 1322, at the following meter rates.

Meter Size	Refunds by Meter Equivalents
5/8 x 3/4	\$1.25
3/4	\$1.87
1	\$3.12
1 1/2	\$6.24
2	\$9.98
3	\$18.72
4	\$31.20
6	\$62.40
8	\$99.85
10	\$143.53

(L)

(L)

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(TO BE INSERTED BY UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
Advice 1330	J. T. LINAM	Date Filed <u>03/31/2021</u>
Decision	DIRECTOR - Rates & Regulatory	Effective <u>03/31/2021</u>
		Resolution _____

Schedule No. ND-1
Northern Division Tariff Area
GENERAL METERED SERVICES

Fees and Surcharges (Continued)

(L)

MEADOWBROOK TARIFF AREA

- Per Advice Letter 1166, the total transaction cost of \$61,002.13 will be recovered through a meter surcharge from all customers over 36 months.

Meter Size	Rate
5/8" x 3/4"	\$ 0.81
3/4"	\$ 0.81
1"	\$ 2.01
1 1/2"	\$ 4.03
2"	\$ 6.44
3"	\$ 12.08
4"	\$ 20.14
6"	\$ 40.28

GEYSERVILLE TARIFF AREA

- Per D.18-12-021 a meter-based bill credit for the 2016 GRC Interim Rate True-up will be refunded to Geyserville customers over a two-month period.

Meter Size	Refunds by Meter Equivalents
5/8-inch meter	\$54.46
3/4-inch meter	\$81.69
1-inch meter	\$136.15
1-1/2-inch meter	\$272.31
2-inch meter	\$435.69
3-inch meter	\$816.92
4-inch meter	\$1,361.54
6-inch meter	\$2,723.08
8-inch meter	\$4,356.92
10-inch meter	\$6,263.08

(L)

(Continued)

(TO BE INSERTED BY UTILITY)

Advice 1330
Decision

ISSUED BY

J. T. LINAM
DIRECTOR - Rates & Regulatory

(TO BE INSERTED BY C.P.U.C.)

Date Filed 03/31/2021
Effective 03/31/2021
Resolution _____

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(Monterey Main, Hidden Hills, Ryan Ranch, & Bishop Service Areas)

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VN-9MC Metered Construction 9908-W, 10001-W, 9523-W, 9837-W
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Advice 1330	J. T. LINAM	Date Filed <u>03/31/2021</u>
Decision	DIRECTOR - Rates & Regulatory	Effective <u>03/31/2021</u>
		Resolution _____

SACRAMENTO DISTRICT SERVICE LIST
CALIFORNIA-AMERICAN WATER COMPANY
ADVICE LETTER 1330

BY MAIL:

	Walt Shannon 8356 Auberry Drive Sacramento, CA 95828	Robert A. Ryan, Jr. County of Sacramento Downtown Office 700 H Street, Suite 2650 Sacramento, CA 95814 Mark Norris County Clerk-Recorder County of Sacramento 600 8th Street Sacramento, CA 95814
Carol Smith 6241 Cavan Drive, 3 Citrus Heights, CA 95621	Sacramento County WMD 827 7th Street, Room 301 Sacramento, CA 95814	
Steven J. Thompson 5224 Altana Way Sacramento, CA 95841	Fruitridge Vista Water Company P.O. Box 959 Sacramento, CA 95812	Robert C. Baptiste 9397 Tucumcari Way Sacramento, CA 95827-1045
Anthony La Bouff, County Counsel Placer County 175 Fulweiler Avenue Auburn, CA 95603	Rio Linda Water District 730 L Street Rio Linda, CA 95673	
	Richard Rauschmeier California Public Utilities Commission DRA - Water Branch, Rm 4209 505 Van Ness Ave San Francisco, CA 94102 rra@cpuc.ca.gov	Heather Hernandez Sacramento Suburban Water District 3701 Marconi Avenue, Suite 100 Sacramento, CA 95821-5303 HHernandez@sswd.org
<u>BY E-MAIL:</u>		
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